

# FTA

FEDERAL TRANSIT ADMINISTRATION

## 2015 “Guide to Grants” Funding Seminar

Tuscaloosa, AL  
June 19, 2015

### FTA Funding Opportunities

David Powell, General Engineer/Program Manager



U.S. Department of Transportation  
Federal Transit Administration

# Session Overview

- Region IV Overview
- FTA Programs Overview
- Updates
  - MAP-21
  - Planning



# FTA Region IV Overview



# Legislative Authority

- FTA is part of U.S. DOT
- U.S. DOT is part of the Executive Branch
- Congress delegates to executive agencies authority to carry out programs
- FTA's programs are authorized in the Federal Transit Act, as amended most recently by SAFETEA-LU (49 USC Ch 53)

# FTA Organization

- Approximately 520 staff
- HQ in Washington DC + 10 Regional Offices



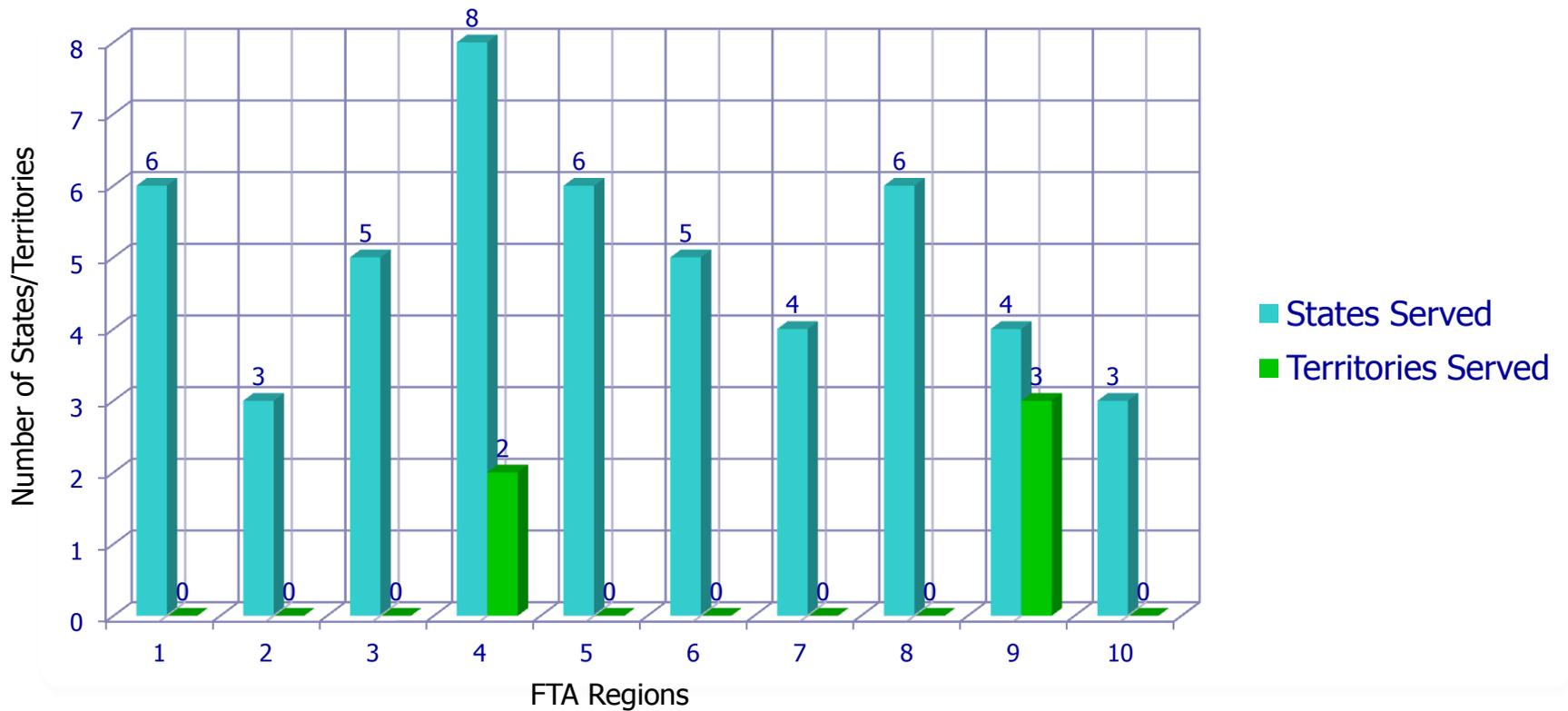
# FTA Organization

- 8 States and 2 U.S. Territories

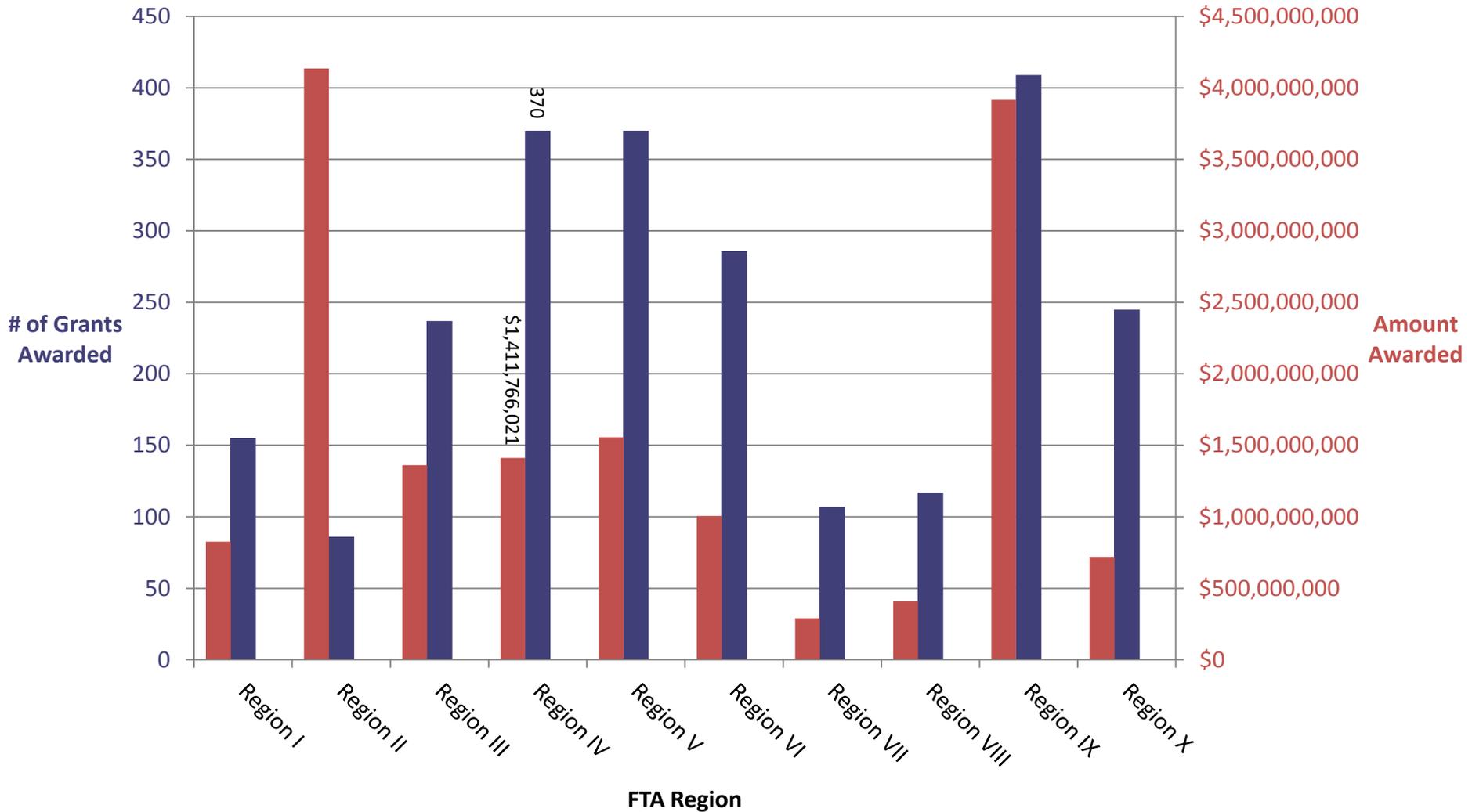


# FTA Regional Comparison

## States/Territories Served by FTA Regions



# FY 2014 Grant and Funding Awards by Region

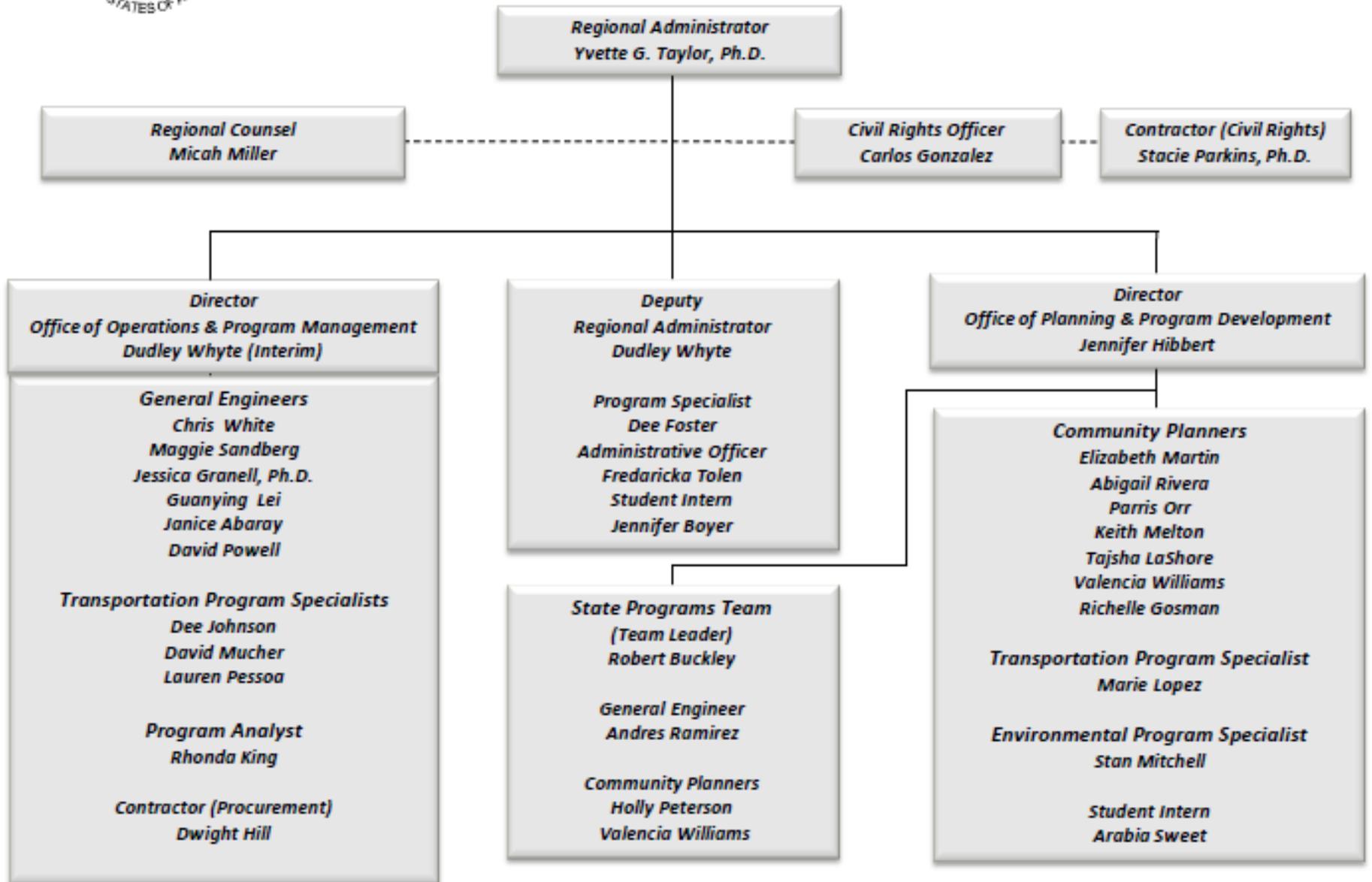


# FY 2014 Grant Awards

FY 2014 Grant Awards - FTA Region IV		
<u>State</u>	<u>Total Grants</u>	<u>Total Amount</u>
Alabama	22	\$54,185,247
Florida	108	\$506,420,042
Georgia	35	\$212,805,190
Kentucky	19	\$54,741,209
Mississippi	13	\$39,737,860
North Carolina	67	\$300,364,138
Puerto Rico	29	\$102,611,267
South Carolina	26	\$40,135,348
Tennessee	47	\$95,555,197



# U.S. Department of Transportation Federal Transit Administration Region IV Organizational Chart



# Region IV Office / Partnership

## North Carolina FTA Contacts:

- UZAs
  - Pre Award - Planning, NEPA, Grant Making
    - Abigail Rivera, Community Planner, [abigail.rivera@dot.gov](mailto:abigail.rivera@dot.gov), 404.865.5624
  - Post Award - Grant and Project Management, Oversight, ARRA
    - David Powell, General Engineer, [david.powell@dot.gov](mailto:david.powell@dot.gov), 404.865.5628
- DOT
  - ALDOT
    - Andres Ramirez, General Engineer, [andres.ramirez@dot.gov](mailto:andres.ramirez@dot.gov), 404.865.5611

# MAP-21: Highlights of Program Changes

## New

- Safety Authority (5329)
- State of Good Repair Grants (5337)
- Asset Management (5326)
- Bus and Bus Facilities Formula Grants (5339)
- Public Transportation Emergency Relief (5324)
- TOD Planning Pilot Grants (20005(b) of MAP-21)

## Repealed

- Clean Fuels Grants (5308)
- Job Access and Reverse Commute (5316) [ JARC ]
- New Freedom Program (5317)
- Paul S. Sarbanes Transit in the Parks (5320)
- Alternatives Analysis (5339)
- Over-the-Road Bus (Sec. 3038 – TEA-21)

## Consolidated

- Urbanized Area Formula Grants (5307) [ JARC ]
- Enhanced Mobility of Seniors and Individuals with Disabilities (5310) [New Freedom]
- Rural Area Formula Grants (5311)[ JARC, Tribal Transit]

## Modified

- Fixed Guideway Capital Investment Grants (5309)
- Metropolitan and Statewide Planning (5303 & 5304)
- Research, Development, Demonstration, and Deployment (5312)
- Technical Assistance and Standards (5314)
- Human Resources and Training (5322)

# FTA Grant Programs

## **MAP-21 Formula Programs**

- 5303/5304 Metropolitan & State Wide Planning Program
- 5307 Urbanized Area Formula Program
- 5310 Enhanced Mobility of Seniors and Individuals with Disabilities Program
- 5311 Rural Area Formula Program
- 5337 State of Good Repair Program
- 5339 Bus and Bus Facilities
- FTA/US DOT Discretionary Programs

# Section 5303/04: Metropolitan and Statewide Planning



# FTA Grant Programs

## Section 5303/5304

- **5303 – Metropolitan Planning Program**
  - Supports a cooperative, continuous, and comprehensive planning program for transportation investment decision-making at the metropolitan level.
- **5304 – Statewide Planning Program**
  - Supports a cooperative, continuous, and comprehensive planning program for transportation investment decision-making at the state level.
- **Major Changes with MAP-21**
  - None
- **Eligible Project Types**
  - Planning Only
  - State DOTs and MPOs (through DOTs)
- **Period of Availability = Year +3**

# Section 5307: Urbanized Area Formula



# FTA Grant Programs

## **Section 5307: Urbanized Area Formula**

- **Purpose:**
  - Grants to Urbanized Areas (UZA) for public transportation capital, planning, job access and reverse commute projects, as well as operating expenses in certain circumstances.
- **Eligible Project Types**
  - Capital, Operating, and Planning
  - Job Access and Reverse Commute type projects (no set-aside or cap)
    - JARC projects should be added under the 646-00 Scope
  - To be eligible for operating assistance, must
    - Be located in Small UZA
    - Be a small operator (less than 100 buses) in large UZA and use new ALI
  - JARC service or route

# FTA Grant Programs

## Section 5307: Urbanized Area Formula

- **Major Changes with MAP-21**
  - New Takedowns and Apportionment Factor
    - State Safety Oversight and Ferry Discretionary Programs
  - Eligibility for Job Access / Reverse Commute projects
    - New JARC service or routes implemented after 10/1/2012, OR
    - Maintaining a previously funded (by SAFETEA-LU) JARC service or route
  - Transit enhancements are removed and replaced by more narrowly defined “associated transit improvements” in TMAs
    - Recipients must continue expend at least 1% of their 5307 apportionment on these improvements.
  - MAP-21 removes eligibility for the transfer of 5307 transit funds to highway projects.

# FTA Grant Programs

## **Section 5307: Urbanized Area Formula**

- **Other Provisions**

- Funding provided by other non-DOT federal government agencies or departments that are eligible to be expended on transportation may be used as local match.
- Certain expenditures by vanpool operators may be used as local match.

- **Period of Availability**

- Year of apportionment plus 5

- **Resources:**

- MAP-21 Interim Guidance: <http://www.gpo.gov/fdsys/pkg/FR-2012-10-16/pdf/2012-25152.pdf>
- FTA Circular 9030.IE: [http://www.fta.dot.gov/legislation\\_law/12349\\_15209.html](http://www.fta.dot.gov/legislation_law/12349_15209.html)

# Section 5310: Enhanced Mobility of Seniors and Individuals with Disabilities Program



# FTA Grant Programs

## **Section 5310: Enhanced Mobility of Seniors and Individuals with Disabilities Formula**

- **Program Purpose:**
  - Intended to enhance mobility for seniors and persons with disabilities by providing funds for programs to serve the special needs of transit-dependent populations beyond traditional public transportation services and Americans with Disabilities Act (ADA) complementary paratransit services.

# FTA Grant Programs

## Section 5310: Enhanced Mobility of Seniors and Individuals with Disabilities Formula

- **Eligible Project Types (derived from coordinated plan)**
  - **“Traditional 5310 Projects”**
    - To provide transportation for seniors and persons with disabilities.
    - Capital Only
    - Typical recipients – non-profit agencies
    - Project examples: accessible van procurement, purchase of service (POS), equipment, mobility management
  - **“Traditional 5317 Projects”**
    - To provide transportation for seniors and persons with disabilities that go beyond ADA requirements
    - Capital And Operating
    - Project examples: bus purchase, route operations (beyond ADA requirements), mobility management
    - These projects should be added under the 647-00 Scope

# FTA Grant Programs

## Section 5310: Enhanced Mobility of Seniors and Individuals with Disabilities Formula

- **Major Changes with MAP-21**
  - Now includes New Freedom (5317)
  - Large UZA Designated Recipient Requirement
    - Under MAP-21 this program no longer provides a single apportionment to the State; provides specific apportionments to large UZAs, small UZAs, and rural areas.
    - Designated Recipients must be named in large urbanized areas BEFORE funds can be awarded
  - 55% minimum MUST be spent on “traditional 5310 projects”
  - Remaining 45 % MAY be spent on “traditional 5317 projects”
  - Up to 10% MAY be spent on Program Administration (100% federal)
  - Competitive selection process recommended, but not required

# FTA Grant Programs

## Section 5310: Enhanced Mobility of Seniors and Individuals with Disabilities Formula

- **Other Provisions**

- State/Program Management Plans required
- Programs of Projects (POP) required
- Transfer provisions
  - Rural or Small UZA → Large UZAs – only if there is an approved statewide coordinated plan
  - Rural → Small UZA – can be transferred if Governor provides letter to FTA certifying the needs in the rural area are being met.
  - Small UZA → Rural – can be transferred if Governor provides letter to FTA certifying the needs in the small UZAs are being met.
  - No other types of transfers allowed

- **Period of Availability**

- Year of apportionment plus 2

# Section 5311: Rural Area Formula Program



# FTA Grant Programs

## Section 5311: Non-Urbanized Area Formula

- **Program Purpose**

- Grants to rural areas for public transportation capital, planning, job access and reverse commute projects, as well as operating expenses in certain circumstances.
- State DOTs apply directly to FTA for funds apportioned under this program (with exception of Tribal Transit Program funds)

- **Eligible Project Type**

- Capital, Operating, Planning, and Administration
- Job Access and Reverse Commute (JARC) type projects
  - JARC projects should be added under the 646-00 Scope
- Rural Transportation Assistance Program – training and technical assistance projects

# FTA Grant Programs

## Section 5311: Non-Urbanized Area Formula

- **Major Changes with MAP-21**
  - Planning is now eligible
  - Eligible State Administration amount 10% (previously 15%)
  - Eligibility for Job Access / Reverse Commute projects (no set-aside or cap)
    - New JARC service or routes implemented after 10/1/2012, OR
    - Maintaining a previously funded (by SAFETEA-LU) JARC service or route

# FTA Grant Programs

## **Section 5311: Non-Urbanized Area Formula**

### **• Major Changes with MAP-21 (Cont.)**

- Established an Appalachian Development Transportation Assistance Program (ADTAP) - \$20 Million / 13 States
  - Additional funding to support public transportation in the Appalachian region.
  - Eligible states/counties: <http://www.arc.gov/counties>
  - Funds under this program can be transferred to FHWA for highway purposes within the same geographic area, under certain circumstances
  - These projects should be added under the 648-00 scope
- Public Transportation on Indian Reservations Program – Formula and Discretionary - \$30 Million
  - Funds can be used by Indian tribes for any purpose eligible under section 5311
  - \$5 million will be allocated on a competitive basis
  - \$25 million will be allocated by formula

# FTA Grant Programs

## **Section 5311: Non-Urbanized Area Formula**

- **Other Provisions**
  - 15% must continue to be spent on Intercity bus unless Governor certifies needs are being met
- **Period of Availability**
  - Year of apportionment plus 2
- **Resources:**
- MAP-21 Interim Guidance:  
<http://www.gpo.gov/fdsys/pkg/FR-2012-10-16/pdf/2012-25152.pdf>

# Section 5337: State of Good Repair



# FTA Grant Programs

## Section 5337: State of Good Repair Program

- **Program Purpose**
  - Provides capital assistance for replacement and rehabilitation projects of existing fixed guideway systems
  - Funding is apportioned to fixed guideway systems open for at least 7 years with a minimum length of 1 mile
- **Eligible Project Type**
  - Capital replacement and rehabilitation projects
  - Preventive maintenance
  - Any fixed guideway project in the UZA is eligible regardless of age

# FTA Grant Programs

## **Section 5337: State of Good Repair Program**

- **Major Changes with MAP-21**
  - Projects that solely expand capacity or service are not eligible
  - High-occupancy vehicle (HOV) lanes are no longer part of the definition for fixed-guideway systems
  - There is a new definition for high-intensity motor buses, which is defined as public transportation that shares lanes with other HOV vehicles.
- **Other Provisions**
  - State of Good Repair projects must be included in Transit Asset Management (TAM) plans after final rule is issued
- **Period of Availability**
  - Year of apportionment plus 3
- **Resources:**
- MAP-21 Interim Guidance: <http://www.gpo.gov/fdsys/pkg/FR-2012-10-16/pdf/2012-25152.pdf>

# Section 5339: Bus and Bus Facilities Formula



# FTA Grant Programs

## Section 5339: Bus and Bus Facilities Formula

- **Program Purpose**

- Provides capital funding to replace, rehabilitate and purchase buses and related equipment and to construct bus-related facilities.

- **Eligible Project Types**

- Capital Only
- Project examples: bus purchase, facility rehabilitation and construction, equipment
- Preventive Maintenance **NOT ELIGIBLE**

# FTA Grant Programs

## Section 5339: Bus and Bus Facilities Formula

- **Major Changes with MAP-21**

- Replaces the Section 5309 Bus and Bus Facilities Program.
- Converted to formula program
- Eligible applicants (to FTA)
  - Only 5307 Designated Recipients can make grant applications to FTA
- Eligible Sub-recipients
  - Public agencies or private nonprofit organizations engaged in public transportation
- Three pots of money:
  - Large Urban
  - Small UZA
  - National Distribution

# FTA Grant Programs

## **Section 5339: Bus and Bus Facilities Formula**

- **Other Provisions**

- Transferability

- Funds cannot be transferred across areas
- Exception: National Distribution allocation.
  - Up to State DOTs to suballocate.
  - Then can be transferred to 5307 or 5311.
  - Must be used consistent with requirements of 5339 (i.e. capital only)

- **Period of Availability**

- Year of apportionment plus 3

- **Resources:**

- **MAP-21 Interim Guidance:**

<http://www.gpo.gov/fdsys/pkg/FR-2012-10-16/pdf/2012-25152.pdf>

# Discretionary Programs

- **Section 5307 Passenger Ferry Grant Program**
  - Fiscal Years 2013-2014 awarded \$123.5M
- **US DOT Fiscal Year 2015 TIGER VII**
  - Final Applications were due June 5, 2015
  - \$500M Appropriated; Infrastructure projects that provides economic improvements, improves access to disconnected urban and rural communities, and affordable transportation to employment and education centers
- **Bus and Bus Facilities Ladders of Opportunity Grant Program**
  - Fiscal Year 2014 Sec. Foxx awarded \$100M
- **Low or No Emission Vehicle Deployment Program**
  - Fiscal Years 2013-2014 awarded \$54.4M
- **Tribal Transit Programs Program**
  - Fiscal Years 2013-2014; \$25M Formula, \$5M Discretionary

# Additional FTA Updates

- Safety Authority
- Transit Asset Management
- State Urbanized Areas (UZAs)
- Metropolitan Planning Organization (MPO) Representation
- Draft Buy America Handbook

# TSO: Vision, Mission, and Purpose

## Vision

- To be recognized as the FTA and industry leader in safety promotion, information sharing and fair oversight.

## Mission

- To make transit safer through policy development, hazard investigation, data collection, risk analysis, effective oversight programs and information sharing.

## Purpose

- Provide an unbiased source of integrated information to promote transit safety and effective grant management.

# Safety and Transit Asset Management ANPRM

- FTA is in the process of developing National Proposed Rule Making (NPRM)
- FTA will issue separate NPRMs to implement the requirements of:
  - The National Safety Plan
  - The Transit Agency Safety Plan
  - The Safety Certification Training Program
  - The National Transit Asset Management System

# Safety Regulatory Authority

TSO is developing four rules to implement the requirements of MAP-21:

## National Safety Plan

- Establishes SMS as foundation for FTA's safety regulatory framework
- Develops safety performance criteria and definition for State of Good Repair (SGR)

## Transit Agency Safety Plan

- Introduces SMS into practice
- Agencies set performance targets for safety and SGR based on requirements in the National Safety Plan

## Safety Certification Training Program

- Introduces SMS concepts and provides training
- Improves technical competencies of safety oversight professionals

## State Safety Oversight (SSO) Program

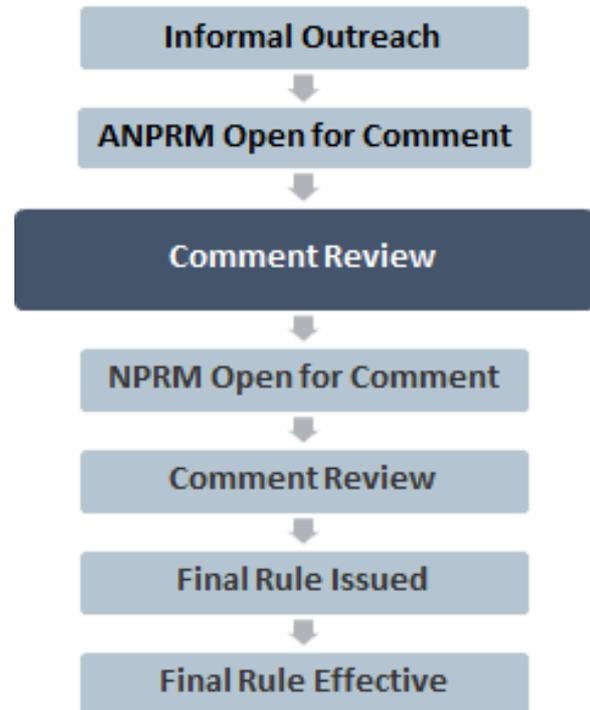
- Establishes new requirements for SSO Programs
- Integrates SMS and provides technical assistance to implement MAP-21 requirements

# FTA Updates

## MAP-21 Updates

- Transit Asset Management
  - Draft Asset Management Guide on FTA website:  
<http://www.fta.dot.gov/13248.html>

## FTA Rulemaking Process



# Alabama UZAs

- Large UZAs (POP 200K – 1M)
  - Birmingham, AL
  - Columbus, GA-FL
  - Huntsville, AL
  - Mobile, AL
  - Montgomery, AL
  - Pensacola, FL-AL
- Small UZAs (POP 50K – 199K)
  - Anniston-Oxford, AL
  - Auburn, AL
  - Daphne-Fairhope, AL
  - Decatur, AL
  - Dothan, AL
  - Florence, AL
  - Gadsden, AL
  - Tuscaloosa, AL

# Policy Guidance on MPO Transit Representation

- Map-21 requires representation by providers of public transportation in each metropolitan planning organization (MPO) that serves a transportation management area (TMA)
- Compliance Deadline: **October 1, 2014**
  - Policy Guidance issued June 2, 2014 provides assist MPOs and transit providers in compliance
- Key Characteristics of Representative:
  - Equal decision making rights and authorities on MPO policy board
  - May either be an elected/appointed member of public transportation provider's Board or an officer of the provider
  - Must be specifically designated to represent public transportation and may not serve as one of the other mandatory MPO board members [49 U.S.C. 134(d)(2) & 49 U.S.C. 5303(d)(2)]

<http://www.gpo.gov/fdsys/pkg/FR-2014-06-04/pdf/2014-12925.pdf>

# Draft Buy America Handbook for Pre-Award & Post-Award Delivery Audits

- The Federal Transit Administration (FTA) has placed in the docket and on its Web site proposed guidance, in the form of a handbook, on complying with FTA's Buy America pre-award and post-delivery audit requirements for rolling stock procurements, from the solicitation phase through final acceptance of the rolling stock. This proposed guidance explains and illustrates how to calculate domestic content of rolling stock, and it is intended for use by recipients of Federal funding, auditors, manufacturers, and suppliers (including subcontractors).
  - Comments must be received on or before **August 17, 2015** .
    - [Draft Buy America Handbook; Conducting Pre-Award and Post-Delivery Audits for Rolling Stock Procurements \(PDF\)](#) (June 2015)

# Questions?

